

Usage Guideline

auth.071.001.02_FCAUG_SFTRCA_0.0.1

SFTR- Outgoing Messages - V0.1

This document describes a usage guideline restricting the base message auth.071.001.02. You can also consult this [information online](#).

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26 July 2023

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Message Functionality

Collection Description

SFTR- Outgoing Messages - V0.1 ([link](#))

Usage Guideline Description

auth.071.001.02_FCAUG_SFTRCA_0.0.1 ([link](#))

The SecuritiesFinancingReportingTransactionReusedCollateralDataReport message is sent by the report submitting entity to the trade repository (TR) to report the collateral reused or sent by the trade repository (TR) to the competent authority (CA) or made available by the trade repository (TR) to the report submitting entity and the reporting counterparty as well as the entity responsible for reporting, if applicable.

Outline

In the Collection SFTR- Outgoing Messages - V0.1, the message auth.071.001.02_FCAUG_SFTRCA_0.0.1 is composed of a mandatory Business Application Header V01 (head.001.001.01) and a Document.

Business Application Header V01 (head.001.001.01)

The Business Application Header V01 (head.001.001.01) is composed of 13 elements.

a - Character Set

Contains the character set of the text-based elements used in the Business Message.

b - From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

c - To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

d - Business Message Identifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

e - Message Definition Identifier

Contains the MessageIdentifier that defines the BusinessMessage.
It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

f - Business Service

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

g - Creation Date

Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

h - Copy Duplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

i - Possible Duplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

j - Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

k - Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

l - Related

Specifies the Business Application Header of the Business Message to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

m - CrossElementComplexRule : RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

**Document - Securities Financing Reporting Transaction Reused Collateral Data Report V02
(auth.071.001.02)**

The Document - Securities Financing Reporting Transaction Reused Collateral Data Report V02 (auth.071.001.02) is composed of 2 elements.

a - Trade Data

Data concerning the reporting trade.

b - Supplementary Data

Additional information that can not be captured in the structured fields and/or any other specific block.

About this document

Legend

| Abbreviation | Term | Description |
|--------------|--------------------|---|
| X | Excluded | An optional field or element must not be populated. |
| I | Ignored | A field or element could be populated but is ignored by the receiver. |
| [x..y] | Multiplicity | A field or element multiplicity has changed. |
| FV | Fixed Value | A field or element must contain a given value. |
| T/C | Type / Code Change | A user-defined datatype replaces an existing simple datatype. |
| A | Element Added | A field or element has been added. |

| Header | Description |
|--------------------|-----------------------------------|
| Index | Element reference |
| LvL | Element nesting in tree hierarchy |
| Name | Element name |
| XML Tag | Element XML tag |
| Mult | Element multiplicity |
| Type / Code | Element formatting |
| Rest | Restriction type |
| Additional details | Other restriction specifics |

| Type/Code Notation | Describes the Element | Examples |
|------------------------|---|--------------------------------|
| text{m,M} | minimum (m) and maximum (M) length | text{1,35} |
| text{L} | maximum (L) length, minimum length is 0 | test{10} |
| m <= decimal <= M | minimum (m) and maximum (M) values | 0.01 <= decimal <= 9999.99 |
| fd = F, td = T | maximum fractional (F) and total (T) number of digits | fd = 2, td = 11 |
| <<regular expression>> | regular expression pattern | [A-Z]{6,6}([A-Z0-9]{3,3}){0,1} |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|--|-------------|--------|-----------------|-----------|--------------------|
| | 0 | Full Message | | [1..1] | | | |
| | 1 | Business Application Header V01 (head.001.001.01) | <AppHdr> | [1..1] | | | |
| | 2 | From | <Fr> | [1..1] | Choice | | |
| | 3 | Organisation Identification | <OrgId> | [1..1] | | | |
| | 4 | Identification | <Id> | [0..1] | Choice | | |
| | 5 | Organisation Identification | <OrgId> | [1..1] | | | |
| | 6 | Other | <Othr> | [0..*] | | | |
| | 7 | Identification | <Id> | [1..1] | text{1,35} | | |
| | 7 | Scheme Name | <SchmeNm> | [0..1] | Choice | | |
| | 8 | Code | <Cd> | [1..1] | text{1,4} | | |
| | 8 | Proprietary | <Prtry> | [1..1] | text{1,35} | | |
| | 7 | Issuer | <Issr> | [0..1] | text{1,35} | | |
| | 2 | To | <To> | [1..1] | Choice | | |
| | 3 | Organisation Identification | <OrgId> | [1..1] | | | |
| | 4 | Identification | <Id> | [0..1] | Choice | | |
| | 5 | Organisation Identification | <OrgId> | [1..1] | | | |
| | 6 | Other | <Othr> | [0..*] | | | |
| | 7 | Identification | <Id> | [1..1] | text{1,35} | | |
| | 7 | Scheme Name | <SchmeNm> | [0..1] | Choice | | |
| | 8 | Code | <Cd> | [1..1] | text{1,4} | | |
| | 8 | Proprietary | <Prtry> | [1..1] | text{1,35} | | |
| | 7 | Issuer | <Issr> | [0..1] | text{1,35} | | |
| | 2 | Business Message Identifier | <BizMsgIdr> | [1..1] | text{1,35} | | |
| | 2 | Message Definition Identifier | <MsgDefIdr> | [1..1] | text{1,35} | | |
| | 2 | Creation Date | <CreDt> | [1..1] | dateTime .*Z | | |
| | 2 | Related | <Rltd> | [0..1] | | | |
| | 3 | From | <Fr> | [1..1] | Choice | | |
| | 4 | Organisation Identification | <OrgId> | [1..1] | | | |
| | 5 | Identification | <Id> | [0..1] | Choice | | |
| | 6 | Organisation Identification | <OrgId> | [1..1] | | | |
| | 7 | Other | <Othr> | [0..*] | | | |
| | 8 | Identification | <Id> | [1..1] | text{1,35} | | |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|--|---|--------|-----------------|---------------------|--|
| | 8 | Scheme Name | <SchmeNm> | [0..1] | Choice | | |
| | 9 | Code | <Cd> | [1..1] | text{1,4} | | |
| | 9 | Proprietary | <Prtry> | [1..1] | text{1,35} | | |
| | 8 | Issuer | <Issr> | [0..1] | text{1,35} | | |
| | 3 | To | <To> | [1..1] | Choice | | |
| | 4 | Organisation Identification | <OrgId> | [1..1] | | | |
| | 5 | Identification | <Id> | [0..1] | Choice | | |
| | 6 | Organisation Identification | <OrgId> | [1..1] | | | |
| | 7 | Other | <Othr> | [0..*] | | | |
| | 8 | Identification | <Id> | [1..1] | text{1,35} | | |
| | 8 | Scheme Name | <SchmeNm> | [0..1] | Choice | | |
| | 9 | Code | <Cd> | [1..1] | text{1,4} | | |
| | 9 | Proprietary | <Prtry> | [1..1] | text{1,35} | | |
| | 8 | Issuer | <Issr> | [0..1] | text{1,35} | | |
| | 3 | Business Message Identifier | <BizMsgIdr> | [1..1] | text{1,35} | | |
| | 3 | Message Definition Identifier | <MsgDefIdr> | [1..1] | text{1,35} | | |
| | 3 | Creation Date | <CreDt> | [1..1] | dateTime .*Z | | |
| | 1 | Document | | [1..1] | | | |
| | 2 | Securities Financing Reporting Transaction Reused Collateral Data Report V02 (auth.071.001.02) | <SctiesFincgRpt gTxReusdCollDa taRpt> | | | | |
| | 3 | Trade Data | <TradData> | [1..1] | Choice | | |
| | 4 | Data Set Action | <DataSetActn> | [1..1] | text | | Annotation: Usage - Usage: Where no transaction was concluded, this field should be set so that a valid transaction data file can be submitted to FCA as per daily submission requirements. |
| | 5 | No Transaction | | | NOTX | | |
| | 4 | Report | <Rpt> | [1..*] | Choice | [1..5 0000 0] | |

| Index | Lvl | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|-----|---------------------------------|------------------|--------|-----------------------------------|--------|---|
| | 5 | New | <New> | [1..1] | | | Annotation: SFTR Technical Standards 2023 - Field Number: 18 - Table Number: 4 ----- Annotation: Usage - Usage: A new reuse or cash collateral reinvestment balance, in which case it will be identified as 'New'. |
| | 6 | Technical Record Identification | <TechRcrdId> | [0..1] | text{1,140} | | |
| | 6 | Reporting Date Time | <RptgDtTm> | [1..1] | dateTime | | Annotation: SFTR Technical Standards 2023 - Field Number: 1 - Table Number: 4 |
| | 6 | Counterparty | <CtrPty> | [1..1] | | | |
| | 7 | Entity Report Submitting | <RptSubmitgNtty> | [1..1] | Choice | | Annotation: SFTR Technical Standards 2023 - Field Number: 3 - Table Number: 4 |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 7 | Reporting Counterparty | <RptgCtrPty> | [1..1] | Choice | | Annotation: SFTR Technical Standards 2023 - Field Number: 4 - Table Number: 4 |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|-------------------------------|---------------------|--------|---|-----------|---|
| | 7 | Report Entity Responsible For | <NttyRspnsblForRpt> | [0..1] | Choice | [1..1] | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 5 - Table Number: 4 -----</p> <p>Annotation: Usage</p> <p>- Usage: Where a management company (within the definition section 237(3) of the FSMA) is responsible for reporting on behalf of an Undertaking for Collective Investment in Transferable Securities (UCITS) in accordance with Article 4(3) of that Regulation the unique code identifying that management company. Where an Alternative Investment Fund Manager (AIFM) (within the definition in regulation 4 of the Alternative Investment Fund Managers Regulations 2013) is responsible for reporting on behalf of an Alternative Investment Fund (AIF) (within the definition in regulation 3 of those Regulations) in accordance with Article 4(3) of Regulation (EU) No 2365/2015, the unique code identifying that AIFM.</p> |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 6 | Collateral Component | <CollCmpnt> | [0..*] | | [0..1] | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 6 - Table Number: 4</p> |
| | 7 | Security | <Scty> | [0..*] | | | |
| | 8 | ISIN | <ISIN> | [1..1] | text [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1} | | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 7 - Table Number: 4</p> |
| | 8 | Reuse Value | <ReuseVal> | [1..1] | Choice | | |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|---------------------------------|---------------------|--------|-----------------------------------|-----------|---|
| | 9 | Actual | <Actl> | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 8 - Table Number: 4 |
| | 10 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 10 - Table Number: 4 |
| | 9 | Estimated | <Estmtd> | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 9 - Table Number: 4 |
| | 10 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 10 - Table Number: 4 |
| | 7 | Cash | <Csh> | [0..*] | | [0..1] | |
| | 8 | Reinvested Cash | <RinvstdCsh> | [1..*] | | | |
| | 9 | Type | <Tp> | [1..1] | text | | Annotation: SFTR Technical Standards 2023 - Field Number: 12 - Table Number: 4 |
| | 10 | Other | | | OTHR | | |
| | 10 | Commingled Pool Other | | | OCMP | | |
| | 10 | Money Market Fund Registered | | | MMFT | | |
| | 10 | Agreement Market Repurchase | | | REPM | | |
| | 10 | Direct Purchase Securities | | | SDPU | | |
| | 9 | Amount Reinvested Cash | <RinvstdCshAmt > | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 13 - Table Number: 4 |

| Index | Lvl | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|-----|--|--|--------|-----------------------------------|--------|---|
| | 10 | Currency | Xml Attribute <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 14 - Table Number: 4 |
| | 8 | Rate | Cash Reinvestment <CshRinvstmtRate> | [1..1] | decimal td = 11 fd = 10 | | Annotation: SFTR Technical Standards 2023 - Field Number: 11 - Table Number: 4 |
| | 6 | Event Day | <EvtDay> | [1..1] | date | | Annotation: SFTR Technical Standards 2023 - Field Number: 2 - Table Number: 4 |
| | 6 | Funding Source | <FndgSrc> | [0..*] | | | |
| | 7 | Type | <Tp> | [1..1] | text | | Annotation: SFTR Technical Standards 2023 - Field Number: 15 - Table Number: 4 |
| | 8 | Cash Collateral From Securities Lending | | | SECL | | |
| | 8 | Free Credits | | | FREE | | |
| | 8 | Other | | | OTHR | | |
| | 8 | Proceeds From Broker Short Sales | | | BSHS | | |
| | 8 | Proceeds From Customer Short Sales | | | CSHS | | |
| | 8 | Repo Or BSB | | | REPO | | |
| | 8 | Unsecured Borrowing | | | UBOR | | |
| | 7 | Market Value | <MktVal> | [1..1] | | | Annotation: SFTR Technical Standards 2023 - Field Number: 16 - Table Number: 4 |
| | 8 | Amount | <Amt> | [1..1] | 0 <= decimal td = 18 fd = 5 | | |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|------------------------------------|----------------------|--------|-----------------------------------|-----------|--|
| | 9 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 17 - Table Number: 4 |
| | 8 | Sign | <Sgn> | [0..1] | boolean | | |
| | 5 | Error | <Err> | [1..1] | | | Annotation: SFTR Technical Standards 2023 - Field Number: 18 - Table Number: 4 ----- Annotation: Usage - Usage: A cancellation of a wrongly submitted entire report, in which case, it will be identified as 'error'. |
| | 6 | Technical Record Identification | <TechRcrdId> | [0..1] | text{1,140} | [1..1] | |
| | 6 | Reporting Date Time | <RptgDtTm> | [1..1] | dateTime | | Annotation: SFTR Technical Standards 2023 - Field Number: 1 - Table Number: 4 |
| | 6 | Counterparty | <CtrPty> | [1..1] | | | |
| | 7 | Report Submitting Entity | <RptSubmitgNtty > | [1..1] | Choice | | Annotation: SFTR Technical Standards 2023 - Field Number: 3 - Table Number: 4 |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 7 | Reporting Counterparty | <RptgCtrPty> | [1..1] | Choice | | Annotation: SFTR Technical Standards 2023 - Field Number: 4 - Table Number: 4 |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|-------------------------------|---------------------|--------|-----------------------------------|-----------|---|
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 7 | Report Entity Responsible For | <NttyRspnsblForRpt> | [0..1] | Choice | [1..1] | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 5 - Table Number: 4 -----</p> <p>Annotation: Usage</p> <p>- Usage: Where a management company (within the definition section 237(3) of the FSMA) is responsible for reporting on behalf of an Undertaking for Collective Investment in Transferable Securities (UCITS) in accordance with Article 4(3) of that Regulation the unique code identifying that management company. Where an Alternative Investment Fund Manager (AIFM) (within the definition in regulation 4 of the Alternative Investment Fund Managers Regulations 2013) is responsible for reporting on behalf of an Alternative Investment Fund (AIF) (within the definition in regulation 3 of those Regulations) in accordance with Article 4(3) of Regulation (EU) No 2365/2015, the unique code identifying that AIFM.</p> |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 5 | Correction | <Crrctn> | [1..1] | | | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 18 - Table Number: 4 -----</p> <p>Annotation: Usage</p> <p>- Usage: A previously submitted report contains erroneous data fields, in which case the report correcting the erroneous data fields of the previous report shall be identified as 'Correction'.</p> |

| Index | Lvl | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|-----|---------------------------------|------------------|--------|-----------------------------------|--------|--|
| | 6 | Technical Record Identification | <TechRcrdId> | [0..1] | text{1,140} | [1..1] | |
| | 6 | Reporting Date Time | <RptgDtTm> | [1..1] | dateTime | | Annotation: SFTR Technical Standards 2023 - Field Number: 1 - Table Number: 4 |
| | 6 | Counterparty | <CtrPty> | [1..1] | | | |
| | 7 | Entity Report Submitting | <RptSubmitgNtty> | [1..1] | Choice | | Annotation: SFTR Technical Standards 2023 - Field Number: 3 - Table Number: 4 |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 7 | Reporting Counterparty | <RptgCtrPty> | [1..1] | Choice | | Annotation: SFTR Technical Standards 2023 - Field Number: 4 - Table Number: 4 |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|-------------------------------|---------------------|--------|---|-----------|---|
| | 7 | Report Entity Responsible For | <NttyRspnsblForRpt> | [0..1] | Choice | [1..1] | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 5 - Table Number: 4 -----</p> <p>Annotation: Usage</p> <p>- Usage: Where a management company (within the definition section 237(3) of the FSMA) is responsible for reporting on behalf of an Undertaking for Collective Investment in Transferable Securities (UCITS) in accordance with Article 4(3) of that Regulation the unique code identifying that management company. Where an Alternative Investment Fund Manager (AIFM) (within the definition in regulation 4 of the Alternative Investment Fund Managers Regulations 2013) is responsible for reporting on behalf of an Alternative Investment Fund (AIF) (within the definition in regulation 3 of those Regulations) in accordance with Article 4(3) of Regulation (EU) No 2365/2015, the unique code identifying that AIFM.</p> |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 6 | Collateral Component | <CollCmpnt> | [0..*] | | [0..1] | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 6 - Table Number: 4</p> |
| | 7 | Security | <Scty> | [0..*] | | | |
| | 8 | ISIN | <ISIN> | [1..1] | text [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1} | | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 7 - Table Number: 4</p> |
| | 8 | Reuse Value | <ReuseVal> | [1..1] | Choice | | |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|---------------------------------|---------------------|--------|-----------------------------------|-----------|---|
| | 9 | Actual | <Actl> | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 8 - Table Number: 4 |
| | 10 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 10 - Table Number: 4 |
| | 9 | Estimated | <Estmtd> | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 9 - Table Number: 4 |
| | 10 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 10 - Table Number: 4 |
| | 7 | Cash | <Csh> | [0..*] | | [0..1] | |
| | 8 | Reinvested Cash | <RinvstdCsh> | [1..*] | | | |
| | 9 | Type | <Tp> | [1..1] | text | | Annotation: SFTR Technical Standards 2023 - Field Number: 12 - Table Number: 4 |
| | 10 | Other | | | OTHR | | |
| | 10 | Commingled Pool Other | | | OCMP | | |
| | 10 | Money Market Fund Registered | | | MMFT | | |
| | 10 | Agreement Market Repurchase | | | REPM | | |
| | 10 | Direct Purchase Securities | | | SDPU | | |
| | 9 | Amount Reinvested Cash | <RinvstdCshAmt > | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 13 - Table Number: 4 |

| Index | Lvl | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|-----|--|-------------------|--------|-----------------------------------|--------|---|
| | 10 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 14 - Table Number: 4 |
| | 8 | Rate Cash Reinvestment | <CshRinvstmtRate> | [1..1] | decimal td = 11 fd = 10 | | Annotation: SFTR Technical Standards 2023 - Field Number: 11 - Table Number: 4 |
| | 6 | Event Day | <EvtDay> | [1..1] | date | | Annotation: SFTR Technical Standards 2023 - Field Number: 2 - Table Number: 4 |
| | 6 | Funding Source | <FndgSrc> | [0..*] | | | |
| | 7 | Type | <Tp> | [1..1] | text | | Annotation: SFTR Technical Standards 2023 - Field Number: 15 - Table Number: 4 |
| | 8 | Cash Collateral From Securities Lending | | | SECL | | |
| | 8 | Free Credits | | | FREE | | |
| | 8 | Other | | | OTHR | | |
| | 8 | Proceeds From Broker Short Sales | | | BSHS | | |
| | 8 | Proceeds From Customer Short Sales | | | CSHS | | |
| | 8 | Repo Or BSB | | | REPO | | |
| | 8 | Unsecured Borrowing | | | UBOR | | |
| | 7 | Market Value | <MktVal> | [1..1] | | | Annotation: SFTR Technical Standards 2023 - Field Number: 16 - Table Number: 4 |
| | 8 | Amount | <Amt> | [1..1] | 0 <= decimal td = 18 fd = 5 | | |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|------------------------------------|------------------|--------|-----------------------------------|-----------|---|
| | 9 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 17 - Table Number: 4 |
| | 8 | Sign | <Sgn> | [0..1] | boolean | | |
| | 5 | Collateral Reuse Update | <CollReuseUpd> | [1..1] | | | Annotation: SFTR Technical Standards 2023 - Field Number: 18 - Table Number: 4 ----- Annotation: Usage - Usage: A modification of the details of the reuse or cash collateral reinvestment, in which case it will be identified as 'reuse update'. |
| | 6 | Technical Record Identification | <TechRcrdId> | [0..1] | text{1,140} | [1..1] | |
| | 6 | Reporting Date Time | <RptgDtTm> | [1..1] | dateTime | | Annotation: SFTR Technical Standards 2023 - Field Number: 1 - Table Number: 4 |
| | 6 | Counterparty | <CtrPty> | [1..1] | | | |
| | 7 | Entity Report Submitting | <RptSubmitgNtty> | [1..1] | Choice | | Annotation: SFTR Technical Standards 2023 - Field Number: 3 - Table Number: 4 |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 7 | Reporting Counterparty | <RptgCtrPty> | [1..1] | Choice | | Annotation: SFTR Technical Standards 2023 - Field Number: 4 - Table Number: 4 |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|-------------------------------|---------------------|--------|---|-----------|---|
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 7 | Report Entity Responsible For | <NttyRspnsblForRpt> | [0..1] | Choice | [1..1] | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 5 - Table Number: 4 -----</p> <p>Annotation: Usage</p> <p>- Usage: Where a management company (within the definition section 237(3) of the FSMA) is responsible for reporting on behalf of an Undertaking for Collective Investment in Transferable Securities (UCITS) in accordance with Article 4(3) of that Regulation the unique code identifying that management company. Where an Alternative Investment Fund Manager (AIFM) (within the definition in regulation 4 of the Alternative Investment Fund Managers Regulations 2013) is responsible for reporting on behalf of an Alternative Investment Fund (AIF) (within the definition in regulation 3 of those Regulations) in accordance with Article 4(3) of Regulation (EU) No 2365/2015, the unique code identifying that AIFM.</p> |
| | 8 | LEI | <LEI> | [1..1] | text [A-Z0-9]{18,18}[0-9]{2,2} | | |
| | 6 | Collateral Component | <CollCmpnt> | [0..*] | | [0..1] | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 6 - Table Number: 4</p> |
| | 7 | Security | <Scty> | [0..*] | | | |
| | 8 | ISIN | <ISIN> | [1..1] | text [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1} | | <p>Annotation: SFTR Technical Standards 2023</p> <p>- Field Number: 7 - Table Number: 4</p> |
| | 8 | Reuse Value | <ReuseVal> | [1..1] | Choice | | |

| Index | Lv l | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|---------|---------------------------------|---------------------|--------|-----------------------------------|-----------|---|
| | 9 | Actual | <Actl> | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 8 - Table Number: 4 |
| | 10 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 10 - Table Number: 4 |
| | 9 | Estimated | <Estmtd> | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 9 - Table Number: 4 |
| | 10 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 10 - Table Number: 4 |
| | 7 | Cash | <Csh> | [0..*] | | [0..1] | |
| | 8 | Reinvested Cash | <RinvstdCsh> | [1..*] | | | |
| | 9 | Type | <Tp> | [1..1] | text | | Annotation: SFTR Technical Standards 2023 - Field Number: 12 - Table Number: 4 |
| | 10 | Other | | | OTHR | | |
| | 10 | Commingled Pool Other | | | OCMP | | |
| | 10 | Money Market Fund Registered | | | MMFT | | |
| | 10 | Agreement Market Repurchase | | | REPM | | |
| | 10 | Direct Purchase Securities | | | SDPU | | |
| | 9 | Amount Reinvested Cash | <RinvstdCshAmt > | [1..1] | 0 <= decimal td = 18 fd = 5 | | Annotation: SFTR Technical Standards 2023 - Field Number: 13 - Table Number: 4 |

| Index | Lvl | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|-----|--|-------------------|--------|-----------------------------------|--------|---|
| | 10 | Currency Xml Attribute | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 14 - Table Number: 4 |
| | 8 | Rate Cash Reinvestment | <CshRinvstmtRate> | [1..1] | decimal td = 11 fd = 10 | | Annotation: SFTR Technical Standards 2023 - Field Number: 11 - Table Number: 4 |
| | 6 | Event Day | <EvtDay> | [1..1] | date | | Annotation: SFTR Technical Standards 2023 - Field Number: 2 - Table Number: 4 |
| | 6 | Funding Source | <FndgSrc> | [0..*] | | | |
| | 7 | Type | <Tp> | [1..1] | text | | Annotation: SFTR Technical Standards 2023 - Field Number: 15 - Table Number: 4 |
| | 8 | Cash Collateral From Securities Lending | | | SECL | | |
| | 8 | Free Credits | | | FREE | | |
| | 8 | Other | | | OTHR | | |
| | 8 | Proceeds From Broker Short Sales | | | BSHS | | |
| | 8 | Proceeds From Customer Short Sales | | | CSHS | | |
| | 8 | Repo Or BSB | | | REPO | | |
| | 8 | Unsecured Borrowing | | | UBOR | | |
| | 7 | Market Value | <MktVal> | [1..1] | | | Annotation: SFTR Technical Standards 2023 - Field Number: 16 - Table Number: 4 |
| | 8 | Amount | <Amt> | [1..1] | 0 <= decimal td = 18 fd = 5 | | |

| Index | Lvl | Name | XML Tag | Mult | Type / Code | Rest r | Additional details |
|-------|-----|----------|---------|--------|--------------------|--------|---|
| | 9 | Currency | <Ccy> | | text [A-Z]{3,3} | | Annotation: SFTR Technical Standards 2023 - Field Number: 17 - Table Number: 4 |
| | 8 | Sign | <Sgn> | [0..1] | boolean | | |

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